



City of Phoenix

Mission Statement

To improve the quality of life in Phoenix through efficient delivery of outstanding public services.

Project Team

Ross Tate
City Auditor

Stacey Linch
Deputy City Auditor - IT

Mahdi Lasker
Sr. Internal Auditor - IT

Project Number

1240005

This report can be made available in alternate format upon request.

Citywide Automated Clearing House (ACH) Compliance Requirements August 14, 2023

Our purpose was to determine whether the City is prepared to comply with the National Automated Clearing House Association (NACHA) guidelines for Account Validation and Data Security.

We assessed:

- Data Security – Adequate protection of data containing ACH account numbers while stored at-rest.
- Data Access – Ability for personnel to access physical or electronic locations containing ACH account numbers.
- Account validation – Verification of accounts prior to issuance of ACH transfer.

We did not have any recommendations based on our review. Due to the sensitive nature of the information contained in the report, the details of our report were only shared with department management, City management, and the City Audit Committee.

We conducted this performance audit in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the performance audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

City Auditor Department
140 N 3rd Avenue Phoenix, AZ 85003
602-262-6641 (TTY use 7-1-1)